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UNITED STATES PATENT APPLICATION

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For: VACCINES FOR PREVENTION OF  
GRAM-NEGATIVE BACTERIAL INFECTIONS AND  
ENDOTOXIN-RELATED DISEASES

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VACCINES FOR PREVENTION OF  
GRAM-NEGATIVE BACTERIAL INFECTIONS AND  
ENDOTOXIN-RELATED DISEASES

5           The present invention is concerned with providing  
a vaccine for prevention of bacterial infections caused by  
gram-negative bacteria and for the prevention of the  
biological effects of homologous endotoxins.

BACKGROUND OF THE INVENTION

10           LPS is the major antigen of gram-negative  
bacteria. This material is a glycopospholipid consisting  
of an antigenic, variable size, carbohydrate chain  
covalently linked to lipid A, the conserved hydrophobic  
15       region structurally defined as N,O-acyl beta-1,6-D-  
glucosamine 1,4'-bisphosphate. Toxicity of LPS is  
expressed by lipid A through the interaction with B-cells  
and macrophages of the mammalian immune system, a process  
leading to the secretion of proinflammatory cytokines,  
mainly TNF, which may have fatal consequences for the host.  
20       Lipid A also activates human T-lymphocytes (Th-1) "in  
vitro" as well as murine CD4+ and CD8+ T-cell "in vivo", a  
property which allows the host's immune system to mount a  
specific, anamnestic IgG antibody response to the variable-  
size carbohydrate chain of LPS. On these bases, LPS has  
25       been recently recognized as a T-cell dependent antigen "in  
vivo".

          In order to fully express toxicity, LPS must  
retain its supramolecular architecture, through the  
association of several units of glycopospholipid monomers  
30       forming the lipid A structure. This conformational  
rearrangement of the molecule is also fundamental for full  
expression of the immunogenic characteristic. Therefore,  
dissociation of these intrinsic properties of the molecule  
appear to be of crucial interest for proposing the design  
35       of LPS-based vaccines related to the prophylaxis of acute  
and chronic pathologies due to gram-negative bacterial

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infections like meningococcal meningitis, typhoid fever and Helicobacter pylori-induced gastritis.

Sepsis and septic shock are well defined clinical conditions that are caused by bacteria and by LPS which is the endotoxin elaborated by the bacteria responsible for the above mentioned pathologies. The present inventor has described treatment regimens for septic shock which are based on the use of a defined class of peptides that have been demonstrated to be capable of neutralizing LPS in vivo and protecting mammal from septic shock induced by LPS.

The treatment of a subject for septic shock requires that the subject who has symptoms of LPS toxicity be given the peptide when symptoms appear. The peptide is not an immunogenic compound for the production of antibodies to LPS and its use prophylactically will not prevent sepsis which is caused by the bacteria which release LPS. LPS has immunogenic properties but it is too toxic to be used to induce the production of antibodies in a host who is to be protected from the effects of a bacterial infection and from the effects of LPS which is released by certain bacterial infections.

Certain of the peptides are disclosed in U. S. 5,371,186, and information about the basis of the vaccine is disclosed in J. Endotoxin Res. (1997) 4(4)261-272, which is incorporated by reference.

#### SUMMARY OF THE INVENTION

The applicant has discovered that a vaccine may be prepared by making an endotoxoid that is made by combining LPS free or in conjugate form with a stoichiometric excess of a peptide of the formula:

- (a)  $(A)_n$  wherein A is Lysine or Arginine and n is an integer with a minimum value of 7;
- (b)  $(AB)_m$  wherein A is Lysine or Arginine and B is a

hydrophobic amino acid selected from the group consisting of Valine, Leucine, Isoleucine, Tyrosine, Phenylalanine and Tryptophan; m is an integer with a minimum value of 3; and (c) (ABC)<sub>p</sub> wherein A is a cationic amino acid which is Lysine or Arginine; B and C are hydrophobic amino acids which may be the same or different and are selected from the group consisting of Valine, Leucine, Isoleucine, Tyrosine, Phenylalanine and Tryptophan; p is an integer with a minimum value of 2. The peptides of the invention may be terminated independently with a hydrogen atom or any of the naturally occurring amino acids, a fatty acid residue or a carbohydrate residue.

ins. The vaccine is particularly useful for the prevention of gram-negative infections and the effects of endotoxins.

Accordingly, it is a primary object of the invention to provide a method for preparing a vaccine for the prevention of sepsis and septic shock;

It is also an object of the invention to provide a novel vaccine for the prevention of sepsis and septic shock.

It is also an object of the invention to provide a novel vaccine based on an endotoxoid complex of LPS/peptide or conjugated LPS/peptide complex derived from homologous LPS.

It is also an object of this invention to provide novel compositions and methods for the treatment of microbial infections.

These and other objects of the invention will become apparent from the appended specification.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is graph which compares the percent of TNF produced "in vivo" by LPS A1 and various LPS A1 conjugate antigens

detoxified by a peptide which is representative of the class of peptides which may be used in the present invention.

5 FIG. 2a comprises a graph which illustrates the kinetic of serum IgG production in SW mice induced by LPS A1, using IgG which is specific for LPS A1. The filled squares are LPS A1; open squares with the cross are LPS A1/SAEP2 peptide (SAEP2 is: Lys-Thr-Lys-Cys-Lys-Phe-Leu-Lys-Lys-Cys)  
10 s - - - - - s  
complex (ratio LPS A1/ SAEP2 = 1:250(v/v)); inverted open triangle BSA-LPS A1; filled circle BSA-LPS A1/peptide SAEP2 complex (ratio LPS A1/SAEP2 = 1:250(v/v)). The titers of IgG, represented by the OD value of each symbol were  
15 detected by ELISA in the sera pool of each animal group at a standard dilution of 1:200(v/v)

FIG. 2b comprises a graph which is similar to FIG. 2a except that the serum was specific for the carrier protein  
20 BSA.

FIG. 3 is a graph which illustrates the lack of toxicity by quantitation of the TNF release in the serum of SW mice 90 min. after s.c. injections (3 weeks apart), of endotoxoid  
25 of *N. meningitidis* A1 prepared in different formulations.

FIG.4a shows the kinetic of serum IgG production in SW mice induced by four doses of endotoxoid of *N. meningitidis* A1 injected s.c. three weeks apart. All sera were diluted at  
30 1:800(v/v).

FIG.4b shows the kinetic of serum IgG production in CD1 mice induced by four doses of endotoxoid of *Salmonella typhimurium* injected s.c. three weeks apart. All sera were  
35 diluted at 1:10,000(v/v).

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FIG. 5a is a graph which shows the immunochemical specificity of mouse IgG antibody induced by the endotoxoid A1 prepared from *N. meningitidis* Strain A1.

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FIG. 5b is a graph which shows the immunochemical specificity of mouse IgG antibody induced by the endotoxoid Ty prepared from *S. enterica* (Sero var typhimurium).

10 FIG. 6a is a graph which shows the protection against an i.p. challenge of *S. enterica* (Sero var typhimurium) in CD1 mice immunized either with endotoxoid Ty or homologous/heterologous antigens as controls where the LD<sub>100</sub> (dose of bacteria killing 100% of the animal population) is  $4 \times 10^5$  cells.

15 FIG. 6b is a graph which is the same as FIG. 5a except that it shows the protection against an i.p. challenge of *S. enterica* in CD1 mice immunized either with endotoxoid Ty or homologous/heterologous antigens as controls where the LD<sub>50</sub> is  $9 \times 10^3$  cells.

#### DETAILED DESCRIPTION OF THE INVENTION

25 The toxic characteristics of LPS may be abrogated without elimination of the antigenic and immunogenic properties of LPS by binding the LPS (via the lipid A moiety) to a peptide as defined in the present application. Typical species of bacteria which produce LPS include *N. meningitidis* Group A,B,C. W135, Y; *E. coli* (especially strain 0157); *Salmonella typhi*; *Salmonella paratyphi*; (A and B) *Shigella flexneri*; non-typeable *Haemophilus influenzae*; *Haemophilus influenzae*, type b; *Helicobacter pylori*; *Chlamydia trachomatis*; *Chlamydia pneumoniae*;

30 *Bordatella pertussis*; *Brucella*; *Legionella pneumophila*;

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Vibrio cholera (type 01 and non-01); Moraxella catharralis; Pseudomonas aeruginosa; and Klebsiella pneumonia (all species). In particular, the toxicity of structurally different LPS' has been completely abrogated by a lipid A-binding cyclic decapeptide, without affecting the structural integrity of the lipid A moiety and the supramolecular architecture of the antigen. It has been found that different LPS' exhibit an active lipid A moiety with a binding site which can be stoichiometrically saturated in vitro, with high affinity, with a peptide according to the present invention. However, it has been found that for in vivo detoxification of LPS with a peptide according to the present invention, it is necessary to use an excess of peptide with respect to the stoichiometric amount required "in vitro" to sufficiently detoxify LPS for preparing an immunogenic endotoxoid (complex) which will induce antibody formation without any unacceptable toxicity. It is believed that the stoichiometric excess is necessary to significantly stabilize the LPS-peptide complex from the likely antagonistic activity of natural LPS-receptor proteins present on specialized cells of the immune system which bear amino acid sequences similar to that of the peptides used in the present invention.

Generally, the immunogenic compound, or endotoxoid complex of the invention, is prepared by combining LPS, derived from a bacterial source, with from 2-10 to 2-5000, preferably from 10-5000 and especially preferably from 250-2500 times its weight, of a peptide as described herein based on a weight/weight ratio of LPS to the peptide. Higher molecular weight peptides may require a higher ratio of peptide to LPS. It is also possible to first conjugate the LPS with a protein such as bovine serum albumin, tetanus toxoid or diphtheria toxoid or non-toxic diphtheria mutant proteins (CRM197) or outer-membrane proteins (OMP) prior to combining the LPS with the peptide

to form the endotoxoid complex. Generally a ratio of 2:1 of LPS to BSA may be employed in the conjugation procedure to yield a covalent conjugate of LPS:BSA =1 (w/w).

The peptides which may be complexed with the lipid A moiety of LPS include linear or cyclic peptides having units of the formula:

- (a)  $(A)_n$  wherein A is Lysine or Arginine and n is an integer with a minimum value of 7;
- (b)  $(AB)_m$  wherein A is Lysine or Arginine and B is a hydrophobic amino acid selected from the group consisting of Valine, Leucine, Isoleucine, Tyrosine, Phenylalanine and Tryptophan; m is an integer with a minimum value of 3; and
- (c)  $(ABC)_p$  wherein A is a cationic amino acid which is Lysine or Arginine; B and C are hydrophobic amino acids which may be the same or different and are selected from the group consisting of Valine, Leucine, Isoleucine, Tyrosine, Phenylalanine and Tryptophan; p is an integer with a minimum value of 2. The peptides of the invention may be terminated independently with a hydrogen atom or any of the naturally occurring amino acids, a fatty acid residue or a carbohydrate residue. In addition the retroinverted peptides, the enantiomer amino acid sequences (all -D amino acids in the sequence), the diastereomer amino acid sequences (-D and -L amino acids in the sequence), and the peptide sequences in which the amino acids are inverted with respect to their original position in the sequence which are based on the peptides described herein may also be employed.

The preferred peptides for use in the invention will also have a ratio of aliphatic cationic amino acids to hydrophobic amino acids ( $R_{C/H}$ ) of at least 0.5 and within the range of about 0.5 to 10.0 which is computed by using the solvent parameter values only for those amino acids which are present in the peptides which have a solvent parameter value equal to or greater than +1.5kcal/mol



(lysine and arginine) and -1.5kcal/mol (valine, isoleucine, leucine, tyrosine, phenylalanine and tryptophane) as measured according to Levitt, J. Mol. Biol. 104,59 (1976), which is incorporated by reference.

5           The peptide sequence for use in preparing a vaccine according to the invention will preferably comprise six to ten amino acid residues containing a minimum of three aliphatic cationic amino acids, with a ratio of aliphatic cationic amino acids to hydrophobic amino acids of equal to or greater than 0.5 ( $R_{C/h}$  wherein c is the number of cationic amino acids in the peptide and h is the number of hydrophobic amino acids in the peptide). This ratio is believed to be the minimum although sequences of ten amino acids with a ratio ( $R_{C/h}$ ) equal to or greater than 1.0 are optimal for expression of biological activity.

The peptide units which are represented by formula (a), (b) and (c) represent discrete peptides which will also potentiate antibiotics as well as peptides which will bind and neutralize endotoxin in the LAL test and which include as a part of their structure units of formula (a), (b) and (c), in addition to other amino acids, are included within the peptides which comprise the invention.

The preferred minimum values for n, m and p have been determined experimentally on the basis of the observation that when the peptide is linear, it should have at least 7 amino acid units and when said peptide is cyclic or a polymer having several cycles, i.e. 2 to 6 cycles, it will have a ring structure that has a minimum of 6 amino acid units; said peptides having a ratio of aliphatic cationic amino acids to hydrophobic amino acids which is equal to or greater than 0.5.

When the peptides are of the formula  $(A)_n$ ,  $(AB)_m$  or  $(ABC)_p$ , i.e. when these formulas do not represent units of a larger peptides, n will be from 7 to 500 and preferably from 7 to 16; m will be from 3 to 200 and

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Lys-Arg-Leu-Lys-Trp-Lys-Tyr-Lys-Gly-Lys-Phe (SEQ  
ID NO: 28); and

Cys-Gln-Ser-Trp-Lys-Ser-Ser-Glu-Ile-Arg-Cys-Gly-Lys  
s-----s (SEQ ID NO:  
5 29).

Cys-Lys-Phe-Leu-Lys-Lys-Cys  
s - - - - - s (SEQ ID NO:30)

10 Lys-Thr-Lys-Cys-Lys-Phe-Leu-Lys-Lys-Cys (SEQ ID NO:31)  
s - - - - - s  
Lys-Phe-Leu-Lys-Lys-Thr (SEQ ID NO: 32)

15 Cys-Lys-Lys-Leu-Phe-Lys-Cys-Lys-Thr-Lys  
s - - - - - s (SEQ ID NO: 33)

Cys-Lys-Lys-Leu-Phe-Lys-Cys-Lys-Thr  
s - - - - - -s (SEQ ID NO: 34)

20 Ile-Lys-Thr-Lys-Cys-Lys-Phe-Leu-Lys-Lys-Cys  
s - - - - - s (SEQ ID NO: 35)

Ile-Lys-Thr-Lys-Lys-Phe-Leu-Lys-Lys-Thr (SEQ ID NO: 36)

25 Ile-Lys-Phe-Leu-Lys-Phe-Leu-Lys-Phe-Leu-Lys (SEQ ID NO: 37)

Lys-Phe-Leu-Lys-Phe-Leu-Lys (SEQ ID NO: 38)

30 Arg-Tyr-Val-Arg-Tyr-Val-Arg-Tyr-Val (SEQ ID NO: 39)

Lys-Phe-Phe-Lys-Phe-Phe-Lys-Phe-Phe (SEQ ID NO: 40)

Ile-Lys-Phe-Leu-Lys-Phe-Leu-Lys-Phe-Leu (SEQ ID NO:41)

35 (Lys)<sup>6</sup>Phe-Leu-Phe-Leu (SEQ ID NO:42)

Cys-Lys-Phe-Lys-Phe-Lys-Phe-Lys-Phe-Cys  
s-----s (SEQ ID NO: 43

40 Lys-Trp-Lys-Ala-Gln-Lys-Arg-Phe-Leu-Lys (SEQ ID NO: 44)

Lys-Arg-Leu-Lys-Trp-Lys-Tyr-Lys-Gly-Lys-Phe (SEQ ID NO: 45)

45 The peptides for use in the present invention may  
be synthesized by classical methods of peptide chemistry  
using manual or automated techniques as well as by DNA  
recombinant technology. The synthetic procedure comprises  
50 solid phase synthesis by Fmoc chemistry, cleavage (TFA

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The vaccines may be administered parenterally, preferably subcutaneously using well known pharmaceutical carriers or inert diluents such as water for injection, sterile normal saline and the like.

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specified herein depending on the particular host. Those who are skilled in the art may ascertain the proper dose using standard procedures. The vaccine of the invention may be monovalent in that it contains one endotoxoid complex  
5 derived from the LPS obtained from one species of bacteria or it may be polyvalent and contain a plurality of endotoxoid complexes made from LPS which is obtained from different species of bacteria. The endotoxoid complex may also be administered as a part of a multicomponent vaccine  
10 such as diphtheria-pertussis-tetanus (DPT) (Tri-immunol, Lederle Laboratories) or diphtheria-pertussis-tetanus-haemophilus (Tetraimmune, Lederle Laboratories). In such cases, an effective amount of the endotoxoid complex or complexes may be combined with the multicomponent vaccine  
15 in order to simultaneously induce multiple antibodies in a host.

The invention also includes the combined administration of the vaccine of the invention with an effective amount of an antibiotic and/ or a peptide as  
20 described above to simultaneously treat a gram-negative infection and provide an immunizing dose of the vaccine. The amounts of the peptide to be administered are described in U.S. 5,589,459 and the combined antibiotic-peptide therapy is described in Serial No 08/456,112, both of which  
25 are incorporated by reference.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

##### EXAMPLE

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##### PREPARATION OF ANTIGENS

LPS from N. meningitidis A1, BB431, 44/77 and purified N. meningitidis LPS A1 were prepared and  
35 characterized according to the method of Gu and Tsai,

Infect. Immun. (1993); 61:1873-1879, which is incorporated by reference. The cyclic peptide, described herein by as SAEP2 was synthesized on solid phase, oxidized and characterized according to the methods set forth in Science  
5 (1993): 259:361-365, which is incorporated by reference.

The covalent conjugate BSA-LPS A1 was prepared as follows: LPS A1 (5mg/ml) in PBS., containing two reactive moles of amino group per mole of oligosaccharide-lipid A monomer, was transformed to the mono-succinimidyl ester by  
10 incubation (60min at room temperature) with the bis-succinimidyl ester of adipic acid (0.7mg/ml) in dimethylsulfoxide (DMSO), according to the procedure reported for amino-activated bacterial capsular oligosaccharides in Mol Immunol (1985):22:907-919.

The LPS A1 derivative had more than 98% of the amino groups contained in its structure transformed into highly reactive ester groups as determined by the trinitrobenzene sulfonic acid (TNBS) reaction. The ester-derivative of LPS A1 was then mixed with a sodium bicarbonate solution  
15 pH = 8.0 containing 0.9mg/ml of BSA. The stoichiometry of the reagents is equivalent to a molar ratio between the amino groups of BSA:monoester groups of LPS A1=2. The solution was stirred for 4 hours at room temperature and the BSA-LPS A1 conjugate was recovered by precipitation  
20 with ethanol (60%v/v final concentration), solubilized in 0.1 M sodium bicarbonate and finally purified using gel chromatography (Sepharose, Pharmacia) sterile filtered using a 0.22µm membrane and freeze dried. The conjugate is consistent with a ratio of BSA:LPS A1 =1(w/w).

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#### PREPARATION OF VACCINES

Complexes of the SAEP2 peptide with both LPS A1 and BSA-LPS A1 conjugate were prepared by combining sterile solutions  
35 of LPS A1 or BSA:LPS A1 with a 0.1 to 5% (w/w) solution of

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SAEP2 peptide at a ratio of LPS/SAEP2 peptide of 1:250(w/w). The solutions were incubated for 30min. at 37°C. Sodium merthiolate 0.01%(w/v) was added as a preservative and the products were stored at 4°C. The immunizing dose of LPS A1 was in the range of 0.5-5µg in 0.2ml, in the formulations of vaccine tested.

#### TESTING

10 The safety of the LPS A1/SAEP2 peptide complex was confirmed "in vitro" by the lack of pyrogenic activity tested by Lipid A-induced LAL clotting and "in vivo" by the determination of TNF (the mediator of toxicity) titers induced by the vaccines in mice (strains SW and CD1). TNF was inhibited by 90% when the LPS A1/SAEP2 peptide complex (1:250w/w ratio) was employed and TNF was inhibited by 98% when the conjugate BSA-LPS A1/SAEP2 peptide complex (1:250w/w ratio) was employed. The results for the various TNF determinations are shown in FIG. 1.

20 The immunogenicity of the LPS A1/SAEP2 peptide complex was determined by comparing the kinetic pattern of the IgG antibodies specific for LPS A1 which are induced by LPS A1; LPS A1/SAEP2 peptide complex; and BSA-LPS A1; and BSA-LPS A1/SAEP2 peptide complex. The results are shown in FIG. 2a and FIG. 2b. The test data show that immunogenicity of endotoxin A1 (LPS A1/SAEP2 peptide complex) is comparable to that induced by the conjugates BSA-LPS A1 peptide complex. Therefore, toxicity and immunogenicity have been dissociated in an endotoxoid.

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#### EXAMPLE 2

LPS from N. meningitidis group A (LPS A1) and Salmonella enterica (serotype typhimurium, LPS Ty) were used to prepare vaccines from two groups of structurally

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different LPS (R- and S-like chemotype) that originated from clinical isolates of extracellular and intracellular Gram-negative bacteria, respectively. Both LPS' exhibit an active lipid A moiety with a binding site which is stoichiometrically saturated "in vitro", with high affinity, by a synthetic cyclic peptide (SAEP2) which exemplifies the peptides of the invention ) For "in vivo" testing, peptide complexes were prepared at a ratio of (a) 1:250; 1:1000 and 1:2500 of LPS A1:peptide and LPS Ty:peptide.

The two endotoxoids (A1 and Ty) were completely non-toxic with respect to LPS, as demonstrated by the level of TNF systemically released in mice after four injections of the antigens (FIG. 3). Comparable results were obtained in rabbits by using a hemorrhagic necrosis test or Schwartzman reaction.

Outbred mice were immunized subcutaneously, three weeks apart, by plain LPS A1 and LPS Ty in parallel with the homologous endotoxoids prepared by complex formation with a cyclic peptide (SAEP2 was used as an example). Serum immune response was assayed for specific anti-LPS IgG isotype antibodies, two weeks following each administration. LPS A1 and LPS Ty have shown a minimum immunogenic activity at the dose of 5 ug/mouse. The homologous endotoxoids have expressed, at a dose ten times lower (0.5µg), an immunogenic activity comparable to that obtained with a dose of 5µg of plain LPS.

To explain this observation, it is hypothesized that there is a downregulating activity of TNF on T-cells. For this purpose, mice were immunized with plain LPS A1, whose toxicity was abrogated by the previous administration of a characterized anti-TNF monoclonal antibody. Although the toxicity of plain LPS A1 was abrogated by the anti-TNF treatment (Fig. 3), no significant increase in the immunogenicity of LPS was detected, in contrast to the

homologous endotoxoid (FIG. 4a), suggesting that while TNF is the recognized mediator of LPS toxicity, it is not significantly involved in the immunogenic activity of LPS.

The endotoxoid-induced IgG antibodies were specific for the core oligosaccharide chain of LPS A1 and for the O-saccharide chain of LPS Ty respectively (Fig. 5a and Fig. 5b). The endotoxoids were functional in fixing and activating homologous and heterologous species of complement and were completely protective in a significant model of salmonella infection (FIG. 6a and FIG. 6b). Furthermore, the endotoxoid-induced IgG antibodies were able to passively protect the animals from the endotoxemic effects, detectable by serum TNF release, of a systemic challenge by homologous LPS (Table I).

TABLE I

Effect of anti LPS A1 polyclonal IgG antibodies on the inhibition of serum TNF production in CD1 mice challenged i.v. with homologous *N. meningitidis* A1 LPS and heterologous *E. coli* 055 B5 LPS. Data represent mean of two independent experiments with 5 mice/group. IgG anti LPS A1 were injected i.v. 30 minutes before either *N. meningitidis* A1 LPS or *E. coli* 055:B5 LPS i.v. challenge.

CHALLENGE			
Mice	IgG antiLPS A1	LPS A1	LPS B5
10	saline	6,110+/-2,062	2,371+/-1,471
10	0.25	1,579+/-591	2,068+/-1,864
(inhibition 74%, p<0.01)			

These experimental results show the protective activity, in a mammalian host, of a bacterial endotoxoid originating from LPS of either extracellular or intracellular gram-negative pathogens.

While certain preferred and alternative

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